

1771

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTS

E.H.G.

3/25/03

#11

In re Application of: )  
BRANHAM, et al. )  
Serial No. 09/815,261 )  
Filed: March 22, 2001 )  
For: WATER-DISPERSIBLE, CATIONIC )  
POLYMERS, A METHOD OF MAKING )  
SAME AND ITEMS USING SAME )

Art Unit: 1713  
1771

Examiner: Norca Liz Torres Velazquez

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of the listed items is enclosed.

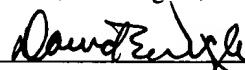
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**Certificate**

It is hereby certified, under Rule 97(e)(1), that each item of information contained in the accompanying Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC, 20231, on February 13, 2003.



David E. Wigley, Reg. No. 52,362

not more than three months prior to the filing of the statement. A copy of the International Search Report is also attached.

The citation of this information does not constitute an admission that any of the materials are available as a reference or of priority, or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,



By: David E. Wigley  
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Our Docket: 44040-256044 (11302-1160)



1449/A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

				Complete if Known
Application Number	09/815,261			
Filing Date	March 22, 2001			
First Named Inventor	Branham et al.			
Group Art Unit	1771			
Examiner Name	Norca Liz Torres Velazquez			
Attorney Docket Number	11302-1160 (44040-256044)			

Sheet 1 of 1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	4,970,260		Lundberg, R. D.	11-13-1990

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FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office <sup>3</sup>	Number <sup>4</sup>		
2		✓ WO 00/39378		Kimberly-Clark Worldwide, Inc.	07-06-2000
3		✓ WO 98/41577		Kimberly-Clark Worldwide, Inc.	09-24-1998
4		✓ WO 98/52698		The Dow Chemical Company	11-26-1998
5		✓ WO 98/29501		Kimberly-Clark Worldwide, Inc.	07-09-1998
6		✓ JP 10 204792		Seiki Kagaku Kogyo KK	08-04-1998

OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			

Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.